[40121/00302]

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Rupeng Zhao

Serial No.

10/617,389

Filed

July 11, 2003

For

SUPERCONDUCTING TAPES

Group Art Unit

1725

Examiner

Colleen Cooke

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## STATEMENT UNDER 37 CFR 3.73(b)

METAL MANUFACTURERS LIMITED hereby states that it is the assignee of the entire right, title, and interest of the above-identified application and prior U.S. Patent No. 6,600,939 issued July 29, 2003 ('939 Patent). The assignment of the '939 patent to Metal Manufacturers Limited was recorded on September 4, 2002 and assigned Reel/Frame 013262/0746. The above-identified application is also owned by Metal Manufacturers Limited by way of an Assignment, which has been filed with the USPTO on February 18, 2004 and a copy of which is attached herewith.

The undersigned is authorized to act on behalf of the assignee.

Respectfully submitted,

Dated: June 2, 2004

Olog F. Kaplun (Reg. No. 45,559)

Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, NY 10038

Tel: (212) 619-6000 Fax: (212) 619-0276 JONES VOLENTINE L.L.Ç. (6/2000)

# **ASSIGNMENT**

|                                   | In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to                 |
|-----------------------------------|--|
| Lusert Name(s) of Inventor(s)     | each of the undersigned  |
| **                                | Rupeng Zhao  |
|                                   |  |
| r . 126 . 43                      | the undersigned hereby sell(s) and assign(s) to  |
| Insert Name(s) of Assignee(s)     | Metal Manufactures Limited   |
| Address                           | of 19-21 Loyalty Road, North Rocks NSW 2051, Australia   |
|                                   | (hereinafter designated as the Assignce) the entire right, title and interest for the United States as defined in 35 |
| •                                 | USC 100, in the invention known as   |
| Title of<br>Invention             | *SUPERCONDUCTING TAPES*  |
|                                   | for which an application for patent in the United States of America has been executed by the undersigned             |
| Date of Signing<br>of Application |  |

The undersigned agree(6) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

Page 1 of 2

The undersigned hereby grant(s) to Raymond C. Jones, Reg. No. 34,631 and Adam C. Volentine, Reg. No. 33,289, of the firm of IONES VOLENTINE, L.L.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

| Date / Sec.  | 2006, Name of Inventor   | hao kuff  |
|--|--|---|
| Date   | , Name of Inventor   |   |
| Date   | . Name of Inventor   |   |
| Date   | , Name of Inventor   |   |
| Date   | , Name of Inventor   |   |
| but not requi<br>least two wit<br>an Assignme<br>Wit | red. If not acknowledged, then it is recesses who sign here. However, the ent even if it has not been acknowledged the enteress. |   |
|  | · · · · · · · · · · · · · · · · · · ·  |   |
|  | day of   | , 19, before me personally came the above-  |
| namedto me personally                                |  | ited the foregoing assignment, who did acknowledge to me that he (they)   |
| io me personally executed the same of                | known as the individual(s) who execu   | ated the foregoing assignment, who did acknowledge to me that he (they)   |
| io me personally executed the same of                | known as the individual(s) who execu   | nted the foregoing assignment, who did acknowledge to me that he (they) rposes therein set forth.  Official Signature |
| to me personally executed the same of                | known as the individual(s) who executed his (their) own free will for the pure   | nted the foregoing assignment, who did acknowledge to me that he (they) rposes therein set forth.  Official Signature |

Page 2 of 2

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Colleen Cooke

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Facsimile

I hereby certify that this correspondence is being transmitted via facsimile to:

Commissioner for Patents fail Stop: Patent Application (703) 872-9306

Date: Lune 2, 2004

TRANSMITTAL

SIR:

In response to the Office Action mailed December 2, 2003 transmitted herewith please find a Response, Terminal Disclaimer and a Statement Under 37 CFR 3.73(b) for filing in the above-identified application.

The Commissioner is hereby authorized to charge the three-month extension fee in the amount of \$950.00 to the Deposit Account of Fay Kaplun & Marcin, LLP No. 50-1492. If any further fees are required, please use the deposit account. A copy of this paper is enclosed for that purpose.

Respectfully submitted,

Dated: June 2, 2004

F. Kaplun, Reg. No.

Fay Kaplun & Marcin, LLP 150 Broadway, Suite 702 New York, NY 10038

Tel: (212) 619-6000 Fax: (212) 619-0276

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Dated: June 2, 2004

Ole F. Kaslun, Reg. No. 45,559

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Tel: (212) 619-6000 Fax: (212) 619-0276



LAW OFFICES OF

FAY KAPLUN & MARCIN, LLP

INTELLECTUAL PROPERTY LAW

150 BROADWAY, SUITE 702 NEW YORK, NEW YORK 10038 PHONE: (212) 619-6000 FAX: (212) 208-6819 WWW.FKMIPLAW.COM RECEIVED CENTRAL FAX CENTER



## **FACSIMILE COVER SHEET**

FAX NO

(703) 872-9306

TO

Commissioner for Patents

Mail Stop: Patent Application

FROM

Oleg F. Kaplun, Esq.

DATE

June 2, 2004

**SUBJECT** 

U.S. Patent Appl. Serial No. 10/617,389

for Superconducting Tapes
Our Ref.: 40121/00302

**NUMBER OF PAGES INCLUDING COVER: 17** 

**MESSAGE:** 

Please see attached. Thank you.

IF ANY PAGES WERE NOT RECEIVED OR ARE ILLEGIBLE, PLEASE CALL (212) 819-8000 AS SOON AS POSSIBLE.

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